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PATENT Atty. Dkt. AMAT/3083.P6PDC/PDC/OR

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Reginald Hunter

Serial No.: 09/684,856

Confirmation No.: 2780

Filed:

October 6, 2000

For:

**Methods for Continuous** 

Embedded Process Monitoring and Optical Inspection of Substrates Using Specular

Signature Analysis

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Group Art Unit: 2877

Examiner:

Zandra Smith

CERTIFICATE OF MAILING

37 CFR 1.8

I hereby certify that this correspondence is being deposited on March \_\_\_\_\_\_, 2004, with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

March 24,2004

Signature

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

Applicants certify that all references submitted with this disclosure were first cited in a communication from a foreign patent office dated February 19, 2004 which communication is enclosed, not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/AMAT/3083.P6/AOP.

Respectfully submitted,

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Substitute for form 1449A/PTO	·		Application Number	09/684,856
		•	Filing Date	October 6, 2000
HEORMATION DISC	LOS	SURE	First Named Inventor	Reginald Hunter
STATEMENT BY APPLICANT			Group Art Unit	2877
ω/			Examiner Name	Zandra Smith
(Use as many sheets as necessary)		Attorney Docket Number	AMAT/3083.P6/PDC/PDC/OR	
Sheet	of	2	Submission Date	March 19, 2004

DADE			U.S. PATENT	DOCUMENTS	
Examiner Cite No.1		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	No.	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY		
	A1	US-5,233,191	08/03/1993	Noguchi, et al.	
	A2	US-5,274,434	12/28/1993	Morioka, et al.	
_	A3	US-5,940,175	08/17/1999	Sun	
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	A5	US-			
	A6	US-			
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	A11	US-			
	A12	US-			
	A13	US-			
	A14	US-			
	A15	US-			
	A16	US-			
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	A18	US-			

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	T <sup>6</sup>
Initials*	nitials* No.1 Country C	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Substitute for form 1449B/PTO	Application Number	09/684,856	
	Filing Date	October 6, 2000	
TINE ORMATION DISCLOSU	RE First Named Inventor	Reginald Hunter	
STATEMENT BY APPLICA		2877	
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(Use as many sheets as necessary)	Attorney Docket Number	AMAT/3083.P6/PDC/PDC/OR	
Sheet of 2	Submission Date	March 19, 2004	

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
	1	European Examination Report dated 02/19/2004; Application No. 00307704.7			
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Examiner **Date Considered** 

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